

# The Iris Dataset Revisited – a Partial Ordering Study

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*The well-known Iris data set has been studied applying partial ordering methodology. Previous studies, e.g., applying supervision learning such as neural networks (NN) and support-vector machines (SVM) perfectly distinguish between the three Iris subgroups, i.e., Iris Setosa, Iris Versicolour and Iris Virginica, respectively, in contrast to, e.g., K-means clustering that only separates the full Iris data set in two clusters. In the present study applying partial ordering methodology further discloses the difference between the different classification methods. The partial ordering results appears to be in perfect agreement with the results of the K-means clustering, which means that the clear separation in the three Iris subsets applying NN and SVM is neither recognized by clustering nor by partial ordering methodology.*

*Povzetek: Analizirana je znana baza učnih domen Iris s poudarkom na nekaterih metodah, recimo gručenju.*

## 1 Introduction

One of the most often applied datasets in machine learning studies test cases is the Iris dataset [1, 2]. This dataset includes 150 entries comprising 3 x 50 entries for three subspecies of class of iris plant, i.e., Iris Setosa (i-set), Iris Versicolour (i-ver) and Iris Virginica (i-vir), respectively. The plants are characterized by four indicators, i.e., Sepal length (SepalL), Sepal width (SepalW), Petal length (PetalL) and Petal width (PetalW), respectively, all in cm.

We find that supervised learning, like neural network and SVM, nicely classify the 3 classes Iris Setosa (i-set), Iris Versicolour (i-ver) and Iris Virginica (i-vir). Using 60% randomly chosen entries as test set a neural network with one hidden layer with 3 nodes leads to only one misclassification between the remaining 40% of the entries serving as validation set. A similar result was obtained using a SVM approach with a radial kernel. Here we find two misclassifications of i-vir being classified as i-ver. In the case of K-means clustering a somewhat less clear picture develops.

In a recent chemistry-oriented study we investigated the potential use of partial ordering methodology as a tool for classification of alkyl anilines [3]. In the present study we apply partial order methodologies to further study as to how far the supervised classification of the three types of Iris-plants can be re-found.

The mathematical theory of partial orders seems to be started in the late 19<sup>th</sup> century (cf. [4]), however, the main development to establish an own mathematical

discipline with the methodological components of combinatorics, algebra and graph theory, can be attributed to the work of Birkhoff [5] and Hasse [6]. To our knowledge there were only few applications of the theory of partial order, i.e., in statistics, e.g. [7-9], concepts of phase transfers [10] and early electronics [11]. In chemistry important and theoretically attractive applications were found by Ruch [12]. Nevertheless, these concepts became not popular, albeit their theoretical beauty. With publications of Randić [13] and Klein [14] and after the pioneering work of Halfon and Reggiani [15] the mathematical theory of partial order became a useful tool in environmental sciences. The background of this development is that environmental systems are complex and a decision about environmental issues had to be based on a set of indicators, describing the state of the environmental system. However, decisions based on a set of indicators are difficult and caused the usage of multicriteria decision aids (MCDA). Famous MCDA-methods are PROMETHEE [16], Electre [17] and partial order concepts [18]. Today the partial order theory is further developed, mainly in the field of multi indicator-systems and is recently applied in social sciences too, e.g. [19]. The latest methodological development is mainly focused on, how to include data uncertainty [20].

## 2 Materials and methods

### 2.1 Data

The data for the current study is the well-known Iris dataset [1] comprising 3 x 50 entries for three subspecies of class of iris plant, i.e., Iris Setosa (i-set), Iris Versicolour (i-ver) and Iris Virginica (i-vir), respectively. Thus, the Iris dataset comprises in total 150 entries.

### 2.2 Basic concepts of partial order

Let  $X$  be a set of objects, labeled by  $x_i$  ( $i = 1, \dots, n$ ), which can be for example chemical compounds. To define an order relation among them, the relation “ $\leq$ ” has to obey the following order axioms:

- reflexivity: the object can be compared with itself, i.e.,  $x \leq x$
- antisymmetry: if  $x \leq y$  and  $y \leq x \Rightarrow x = y$
- transitivity: if  $x \leq y$  and  $y \leq z \Rightarrow x \leq z$

A special realization of order relations is given by eq. 1. Equation 1 expresses a mapping from object  $x$  to its representation by a tuple  $q$  with  $m$  components, as well as the order relation among objects defined by the simultaneous evolution of the tuple  $q$ .

- $x \rightarrow q$  (the set of objects,  $X$ , is mapped onto the set of tuples  $\{q\}$ , by assigning to each object  $x$  its tuple, based on the values of the considered indicators, i.e.,
- $x \rightarrow (q_1(x), q_2(x), \dots, q_m(x))$  where  $q_j$  ( $j=1, \dots, m$ ) is a selection of certain properties of  $x$
- $x \leq y : \Leftrightarrow (q_j(x) \leq q_j(y) \text{ for all } j$  (1)

By eq. 1 a partial order is defined, and the object set  $X$  is by eq. 1 equipped with partial order relation; such a set is called a partially ordered set, in brief poset, denoted often as:  $(X, \leq)$ . If neither  $x \leq y$  nor  $y \leq x$ ,  $x$  is said to be incomparable with  $y$ , denoted as  $x \parallel y$ . By eq. 1 an order relation is defined, if  $x \leq y$  or  $y \leq x$ . The presence of an order relation can be described by the zeta matrix. The zeta matrix is defined as follows:

$$z_{i,j} = \begin{cases} 1 & \text{if } x_i \leq x_j \\ 0 & \text{otherwise} \end{cases}$$

From transitivity it follows that in case of  $x \leq z$  and  $z \leq y$  the implication  $x \leq y$  can be deduced from the premise, i.e., a rational description of the partial order

can be given by the cover relation: “ $y$  is covering  $x$ , if  $x < y$  and there is no other element  $z$ , for which  $x < z$  and  $z \leq y$ . Both relations, i.e., the order and the cover-relation can be expressed by adjacency like matrices.

By application of the cover-relation a graph is constructed. This graph is, based on the three axioms of partial order

- directed (due to the order relation)
- triangle free (due to the cover relation) and
- does not contain cycles, due to the antisymmetry.

By convention, originally introduced by Halfon and Reggiani [15], the graph is drawn with

- $x \leq y$  locating  $x$  below  $y$ ,
- attempting a symmetric presentation as far as possible
- by an arrangement of objects in levels.

For a detailed explanation see [18]. For examples, see sect. 3.

### 2.3 Levels

A poset  $(X, \leq)$  can be partitioned into a family of subsets  $X_i \subset X$ :

$$(X, \leq) = \oplus (X_i, \leq), \text{ i.e. } X_i, X_j \subset X \text{ and } X_i \cap X_j = \emptyset, i \neq j \quad (2)$$

The symbol  $\oplus$  is a shorthand notation for the union of mutually non-intersecting subsets whereby any pair  $x, y$  with  $x \in X_i$  and  $y \in X_j$  (with  $i \neq j$ ) implies  $x \parallel y$ .

The family of sets  $X_i$  can be ordered, i.e.,  $X_{i1} < X_{i2}$ :  $\Leftrightarrow$  there is an element in  $X_{i1}$  which is covered by an element in  $X_{i2}$ . The sets  $X_i$  obeying the above relations are called levels.

The dissection of  $X$  into levels, i.e., into subsets obeying not only the order theoretical characterization, given above, but also eq. 2, allows a geometrical representation by a so-called Hasse diagram that can be seen as a rectangle, filled with the bottom level, then the next level, until the top level is reached. Important is the possibility to perform for each level a permutation (this is possible because there is no order relation among the elements of a level) in that manner, that supervised subsets can be given specific locations within any level. If for example a Hasse diagram has four levels, then its representation by level may look like that in Fig. 1.

Table 1.: i-set/i-ver, i-set/i-vir and i-ver/i-vir ratios for the 50 sample in the three Iris set set1, set2 and set3.

No	set1 (i-set)				set2 (i-ver)				set3 (i-vir)			
	SepalL	SepalW	PetalL	PetalW	SepalL	SepalW	PetalL	PetalW	SepalL	SepalW	PetalL	PetalW
1	0.73	1.09	0.30	0.14	0.81	1.06	0.23	0.08	1.11	0.97	0.78	0.56
2	0.77	0.94	0.31	0.13	0.84	1.11	0.27	0.11	1.10	1.19	0.88	0.79
3	0.68	1.03	0.27	0.13	0.66	1.07	0.22	0.10	0.97	1.03	0.83	0.71
4	0.84	1.35	0.38	0.15	0.73	1.07	0.27	0.11	0.87	0.79	0.71	0.72
5	0.77	1.29	0.30	0.13	0.77	1.20	0.24	0.09	1.00	0.93	0.79	0.68

6	0.95	1.39	0.38	0.31	0.71	1.30	0.26	0.19	0.75	0.93	0.68	0.62
7	0.73	1.03	0.30	0.19	0.94	1.36	0.31	0.18	1.29	1.32	1.04	0.94
8	1.02	1.42	0.45	0.20	0.68	1.17	0.24	0.11	0.67	0.83	0.52	0.56
9	0.67	1.00	0.30	0.15	0.66	1.16	0.24	0.11	0.99	1.16	0.79	0.72
10	0.94	1.15	0.38	0.07	0.68	0.86	0.25	0.04	0.72	0.75	0.64	0.56
11	1.08	1.85	0.43	0.20	0.83	1.16	0.29	0.10	0.77	0.63	0.69	0.50
12	0.81	1.13	0.38	0.13	0.75	1.26	0.30	0.11	0.92	1.11	0.79	0.79
13	0.80	1.36	0.35	0.10	0.71	1.00	0.25	0.05	0.88	0.73	0.73	0.48
14	0.70	1.03	0.23	0.07	0.75	1.20	0.22	0.05	1.07	1.16	0.94	0.70
15	1.04	1.38	0.33	0.15	1.00	1.43	0.24	0.08	0.97	1.04	0.71	0.54
16	0.85	1.42	0.34	0.29	0.89	1.38	0.28	0.17	1.05	0.97	0.83	0.61
17	0.96	1.30	0.29	0.27	0.83	1.30	0.24	0.22	0.86	1.00	0.82	0.83
18	0.88	1.30	0.34	0.30	0.66	0.92	0.21	0.14	0.75	0.71	0.61	0.45
19	0.92	1.73	0.38	0.20	0.74	1.46	0.25	0.13	0.81	0.85	0.65	0.65
20	0.91	1.52	0.38	0.27	0.85	1.73	0.30	0.20	0.93	1.14	0.78	0.73
21	0.92	1.06	0.35	0.11	0.78	1.06	0.30	0.09	0.86	1.00	0.84	0.78
22	0.84	1.32	0.38	0.31	0.91	1.32	0.31	0.20	1.09	1.00	0.82	0.65
23	0.73	1.44	0.20	0.13	0.60	1.29	0.15	0.10	0.82	0.89	0.73	0.75
24	0.84	1.18	0.36	0.42	0.81	1.22	0.35	0.28	0.97	1.04	0.96	0.67
25	0.75	1.17	0.44	0.15	0.72	1.03	0.33	0.10	0.96	0.88	0.75	0.62
26	0.76	1.00	0.36	0.14	0.69	0.94	0.27	0.11	0.92	0.94	0.73	0.78
27	0.74	1.21	0.33	0.29	0.81	1.21	0.33	0.22	1.10	1.00	1.00	0.78
28	0.78	1.17	0.30	0.12	0.85	1.17	0.31	0.11	1.10	1.00	1.02	0.94
29	0.87	1.17	0.31	0.13	0.81	1.21	0.25	0.10	0.94	1.04	0.80	0.71
30	0.82	1.23	0.46	0.20	0.65	1.07	0.28	0.13	0.79	0.87	0.60	0.63
31	0.87	1.29	0.42	0.18	0.65	1.11	0.26	0.11	0.74	0.86	0.62	0.58
32	0.98	1.42	0.41	0.40	0.68	0.89	0.23	0.20	0.70	0.63	0.58	0.50
33	0.90	1.52	0.38	0.08	0.81	1.46	0.27	0.05	0.91	0.96	0.70	0.55
34	0.92	1.56	0.27	0.13	0.87	1.50	0.27	0.13	0.95	0.96	1.00	1.07
35	0.91	1.03	0.33	0.13	0.80	1.19	0.27	0.14	0.89	1.15	0.80	1.07
36	0.83	0.94	0.27	0.13	0.65	1.07	0.20	0.09	0.78	1.13	0.74	0.70
37	0.82	1.13	0.28	0.13	0.87	1.03	0.23	0.08	1.06	0.91	0.84	0.63
38	0.78	1.57	0.32	0.08	0.77	1.16	0.25	0.06	0.98	0.74	0.80	0.72
39	0.79	1.00	0.32	0.15	0.73	1.00	0.27	0.11	0.93	1.00	0.85	0.72
40	0.93	1.36	0.38	0.15	0.74	1.10	0.28	0.10	0.80	0.81	0.74	0.62
41	0.91	1.35	0.30	0.25	0.75	1.13	0.23	0.13	0.82	0.84	0.79	0.50
42	0.74	0.77	0.28	0.21	0.65	0.74	0.25	0.13	0.88	0.97	0.90	0.61
43	0.76	1.23	0.33	0.17	0.76	1.19	0.25	0.11	1.00	0.96	0.78	0.63
44	1.00	1.52	0.48	0.60	0.74	1.09	0.27	0.26	0.74	0.72	0.56	0.43
45	0.91	1.41	0.45	0.31	0.76	1.15	0.33	0.16	0.84	0.82	0.74	0.52
46	0.84	1.00	0.33	0.25	0.72	1.00	0.27	0.13	0.85	1.00	0.81	0.52
47	0.89	1.31	0.38	0.15	0.81	1.52	0.32	0.11	0.90	1.16	0.84	0.68
48	0.74	1.10	0.33	0.15	0.71	1.07	0.27	0.10	0.95	0.97	0.83	0.65
49	1.04	1.48	0.50	0.18	0.85	1.09	0.28	0.09	0.82	0.74	0.56	0.48
50	0.88	1.18	0.34	0.15	0.85	1.10	0.27	0.11	0.97	0.93	0.80	0.72

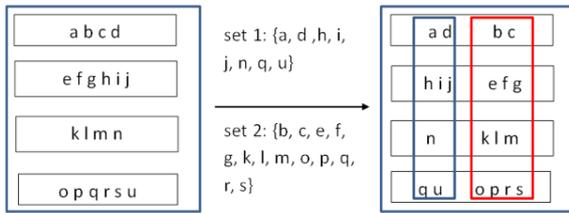


Figure 1: Within any level the position of objects can be freely permuted, nevertheless keeping their original order relations.

### 2.4 Dominance and separability

A partially ordered set may be partitioned in any other manner, i.e., not following the level-construction, but following a supervised classification, e.g., through an aggregation process [21]. Let  $X$  be partitioned into a family of sets  $X_i$ ,  $i = 1, \dots, r$ , where the sets  $X_i$  are externally defined. Then the natural question is, as to how far the family of sets  $\{X_i\}$  can be partially ordered. This question was in depth analyzed by Restrepo and Bruggemann [22]. Here, however, we follow a different concept.

Assume that by any cluster analysis (K-means, hierarchical models) the family of subsets  $X_i$  is defined. What at best can be expected from an analysis by partial order? In order to arrive at this aim, the partial order concepts of linear sums and disjoint union of sets must be defined:

Let  $X_i, X_j$  be subsets of  $X$ , then:

$X_i$  and  $X_j$  form a *linear sum*, denoted as  $X_i \oplus_{\leq} X_j$  (in order to differentiate this symbol from that in eq. 2, we add as a subscript “ $\leq$ ”) when:

For all  $x \in X_i$ , and all  $y \in X_j$   $x \leq y$ ,

**Similarly:**

$X_i$  and  $X_j$  form a *complete disjoint union of sets*, denoted as  $X_i \cup_{\leq} X_j$  (in order to differentiate this symbol from the union symbol in set theory, we add as a subscript “ $\leq$ ”) when:

For all  $x \in X_i$ , and all  $y \in X_j$   $x \parallel y$ ,

A pretty clear classification by partial order theory can be obtained, when the poset is either a linear sum, cf. [21] or a complete disjoint union of sets [23]. Such a classification due to partial order concepts can be visualized as shown in Fig. 2.

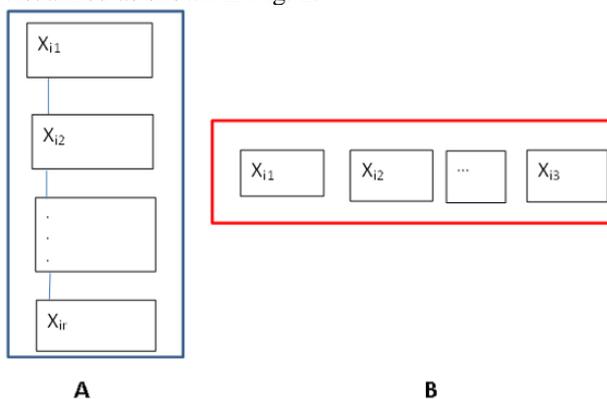


Figure 2: Two extremal cases for a posetic representation of a supervised classification (see text).

In Fig. 2A  $x \geq y$  for any  $x \in X_{ik}$  and  $y \in X_{ik+1}$ . A partially ordered set representable by Fig. 2A is called a linear sum. In contrast to the linear sum construction is the disjoint union of sets  $X_{ik}$  in Fig. 2B. Here the following is valid:  $x \parallel y$  for all  $x \in X_{ik}$  and  $y \in X_{ik+s}$ .

Structures like those shown in Fig. 2 cannot be expected within a real data set. The question is, as to how far approximations corresponding to the two archetypes of Fig. 2 can be found. Hereto two matrices, dominance and separability are introduced.

The dominance matrix being defined as follows

$$\text{Dom}(X_i, X_j) = |\{(x, y) \text{ with } x \in X_i \text{ and } y \in X_j \text{ and } x > y\}| / |X_i| * |X_j|, \text{ dominance matrix} \quad (3)$$

In a situation as shown in Fig. 3a,  $\text{Dom}(X_{ik}, X_{ik+s}) = 1$ , in all other cases  $0 \leq \text{Dom}(X_i, X_j) < 1$ . We speak of  $x_i$  as approximately dominating  $x_j$ , if  $\text{Dom}(X_i, X_j) > 0.5$ .

The analog to Fig. 2B is the separability matrix defined as

$$\text{Sep}(X_i, X_j) = |\{(x, y) \text{ with } x \in X_i \text{ and } y \in X_j \text{ and } x \parallel y\}| / |X_i| * |X_j|, \quad (4)$$

A situation such as shown in Fig. 2B would lead to  $\text{Sep}(X_i, X_j) = 1$ , in cases  $\text{Sep}(X_i, X_j) > 0.5$  we speak of an approximation with respect to Fig. 2B.

### 2.5 Software

The applied software is PyHasse, programmed applying the programming (interpreter) language Python and, in honor of Helmut Hasse, who was one of the main mathematicians, investigating partial order. The complete PyHasse software package is available from Dr. Bruggemann (brg\_home@web.de). A limited version can be assessed at [www.pyhasse.org](http://www.pyhasse.org) (For further details, see [24, 25]).

## 3 Results and discussion

### 3.1 K-means clustering

K-means clustering and Hierarchical clustering (HCA) apparently does lead to less clear-cut pictures. Thus, we find that K-means clustering virtually separated i-set from i-vir and i-ver, whereas a separation of i-vir and i-ver is significantly less pronounced (Fig. 3A). This is in agreement with the plots shown in [2]. A further analyses including the i-ver and i-vir sets lead to some separation between the two sets although a significant overlap is seen (Fig. 3B).

The answer to the somewhat surprising K-means clustering can be found in the data shown in Table 1. Table 1 discloses the i-set/i-ver, i-set/i-vir and i-ver/i-vir ratios for the 50 sample in the three iris sets set 1, set2 and set 3. It is immediate noted that in the cases of the i-set/i-ver and i-set/i-vir the rations are significantly different from 1, whereas in the case of i-ver/i-vir th ratio values are in most case rather close to 1, explaining the lack of separation between), Iris Versicolour and Iris Virginica as displayed in the K-means clustering.

A further discussion of the K-means clustering that is a well-established method is not in the focus of the present paper.

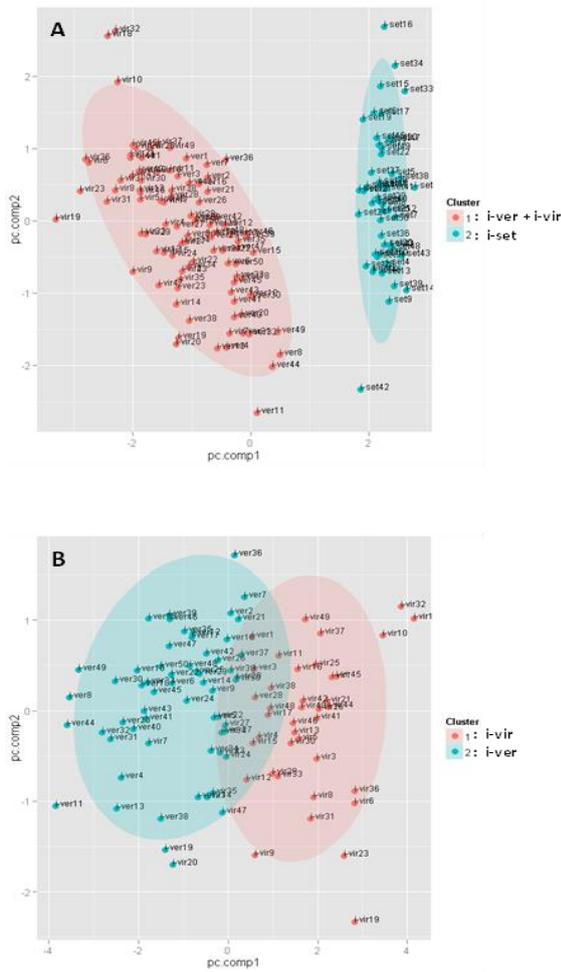


Figure 3: K-means clustering of A: the complete Iris data set and B: the i-ver and i-vir sets

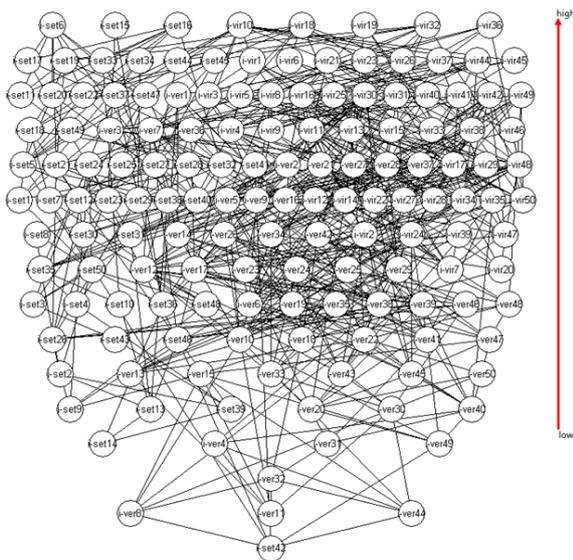


Figure 4: The Hasse diagram of complete Iris set under the four indicators. The diagram displays 4150 comparabilities and 6876 incomparabilities.

### 3.2 The Hasse diagram - visual inspection

The Hasse diagram of the complete Iris dataset is found in Fig. 4.

Inspecting Fig. 4 a substructure, which hopefully mimics the three Iris-families, can obviously not be recognized. There is no clear separation in the sense of eq. 4, which can be just visually detected.

The tools outlined in sect. 2.4 may be helpful to find a structure in the Hasse diagram, when the classification into the three Iris-subsets is used. Hence, we sharpen our message to: Given the classification into the three Iris-subsets, what posetic relations among these three subsets can be found. As visual techniques fail, numerical devices as the dominance and separability matrices are necessary.

### 3.3 Supervised classification

#### 3.3.1 The subsets

The complete Iris data set is subsetted into three sets comprising the 3 Iris species Iris Setosa (i-set), Iris Versicolour (i-ver) and Iris Virginica (i-vir), respectively. Hence,

set 1:  
 i-set1, i-set2, i-set3, i-set4, i-set5, i-set6, i-set7, i-set8, i-set9, i-set10, i-set11, i-set12, i-set13, i-set14, i-set15, i-set16, i-set17, i-set18, i-set19, i-set20, i-set21, i-set22, i-set23, i-set24, i-set25, i-set26, i-set27, i-set28, i-set29, i-set30, i-set31, i-set32, i-set33, i-set34, i-set35, i-set36, i-set37, i-set38, i-set39, i-set40, i-set41, i-set42, i-set43, i-set44, i-set45, i-set46, i-set47, i-set48, i-set49, i-set50

set 2:  
 i-ver1, i-ver2, i-ver3, i-ver4, i-ver5, i-ver6, i-ver7, i-ver8, i-ver9, i-ver10, i-ver11, i-ver12, i-ver13, i-ver14, i-ver15, i-ver16, i-ver17, i-ver18, i-ver19, i-ver20, i-ver21, i-ver22, i-ver23, i-ver24, i-ver25, i-ver26, i-ver27, i-ver28, i-ver29, i-ver30, i-ver31, i-ver32, i-ver33, i-ver34, i-ver35, i-ver36, i-ver37, i-ver38, i-ver39, i-ver40, i-ver41, i-ver42, i-ver43, i-ver44, i-ver45, i-ver46, i-ver47, i-ver48, i-ver49, i-ver50

set 3:  
 i-vir1, i-vir2, i-vir3, i-vir4, i-vir5, i-vir6, i-vir7, i-vir8, i-vir9, i-vir10, i-vir11, i-vir12, i-vir13, i-vir14, i-vir15, i-vir16, i-vir17, i-vir18, i-vir19, i-vir20, i-vir21, i-vir22, i-vir23, i-vir24, i-vir25, i-vir26, i-vir27, i-vir28, i-vir29, i-vir30, i-vir31, i-vir32, i-vir33, i-vir34, i-vir35, i-vir36, i-vir37, i-vir38, i-vir39, i-vir40, i-vir41, i-vir42, i-vir43, i-vir44, i-vir45, i-vir46, i-vir47, i-vir48, i-vir49, i-vir50

#### 3.3.2 Dominances and separabilities

Applying the appropriate PyHasse module, mainly ddssimpl and the new module ddssimpl\_batch (for ddssimpl, cf. [22] the dominance and separability matrices for the three subsets are

Dominance matrix Dom (DOM(i,j)/(ni\*nj))

	1	2	3
1	0.252	0.0	0.0
2	0.094	0.31	0.002
3	0.196	0.63	0.256

Separability matrix Sep (SEP(i,j)/(ni\*nj))

	1	2	3
1	0.517	0.906	0.804
2	0.906	0.401	0.368
3	0.804	0.368	0.509

The separability matrix shows a clear separation between set1 and sets2 and set3, respectively, However, between set2 and set3 a considerable overlapping can be noted expressed by the relatively low value of the nondiagonal element Sep(2,3) = 0.368, which does not justify a separation in the sense of Fig. 3B. The dominance matrix shows correspondingly for the entry Dom(3,2) a value > 0.5. This result is in perfect agreement with the above discussed K-means results.

### 3.4 Separability matrix as mean to visualize the classification

Taken just the two sets, set1 (i-set) and set2 (i-ver), the

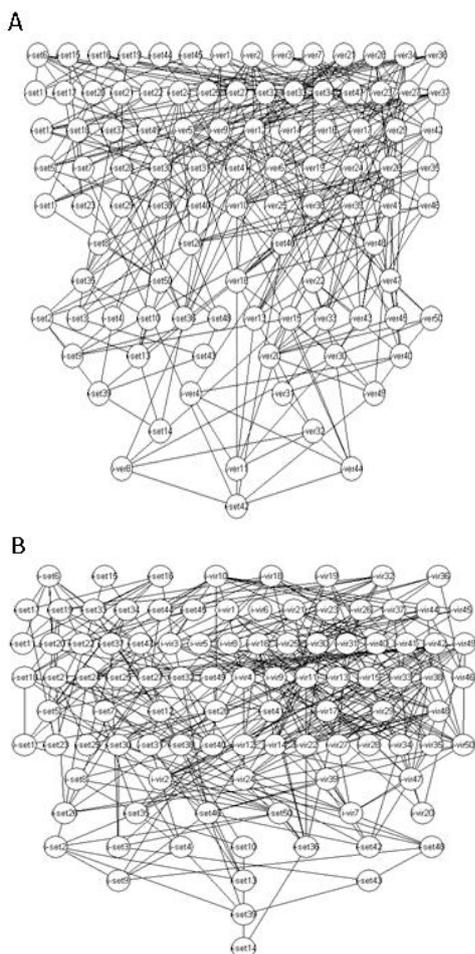


Figure 5: Hasse diagram of A: the i-set (set1) and i-ver (set2) and B: the i-set (set1) and i-vir (set3) under the four indicators.

Hasse diagram is shown in Fig. 5.

In contrast to the pretty clear separation between set1 and set2 (Table 5A) on the one hand, and set1 and set3 (Fig. 5B) on the other hand, the Hasse diagram, based on set2 and set3 only, shows a structure, which schematically could be visualized, as shown in Fig. 6.

The blue part is located below the orange colored part. Hence, there are many order relations of  $x \in \text{set3}$  and  $y \in \text{set2}$ , where  $x > y$  in the order-theoretical sense. This explains pictorially that  $\text{dom}(3,2) \gg \text{dom}(2,3)$  (vide supra). As the blue part is located on the left side, whereas the orange part of the right side of the schematic representation of a Hasse diagram, there are also many relations with  $x \parallel y$ . The order theory does not support a separation between set2 and set3. An enhancement with respect to dominance relations may be given by the two little rectangles in the middle of the scheme (Fig. 6), which we call a “nose”. Note that from a geometrical point of view, the elements of the "nose" could be arranged so that formally the two triangles are not perturbed. However, the “nose” indicates the count of elements which lead to the irregular structure, shown in Fig. 6. The real Hasse diagram of set2 and set3 is shown in Fig. 7, where the above schematic structure (Fig. 6) easily is recognized.

It remains to discuss two points:

1. What is the effect of the elements within the “nose” (section 3.4)?
2. What can be said about an internal partitioning of the sets i-set, i-ver and i-vir (section 3.5)?

### 3.5 Effect of the elements of the "nose"

In this section we investigate, as to how far elements of a specific geometric configuration, here the “nose” can influence the values of the dominance and separability matrix. It is to be clarified, whether or not such geometrical configuration destabilizes the conclusions based on the two concepts, i.e., dominance and



Figure 6: Schematic view, based on the methods, explained in sect. 2 for set2 and 3. The parts, obviously not being confined by the triangles are called “nose”.

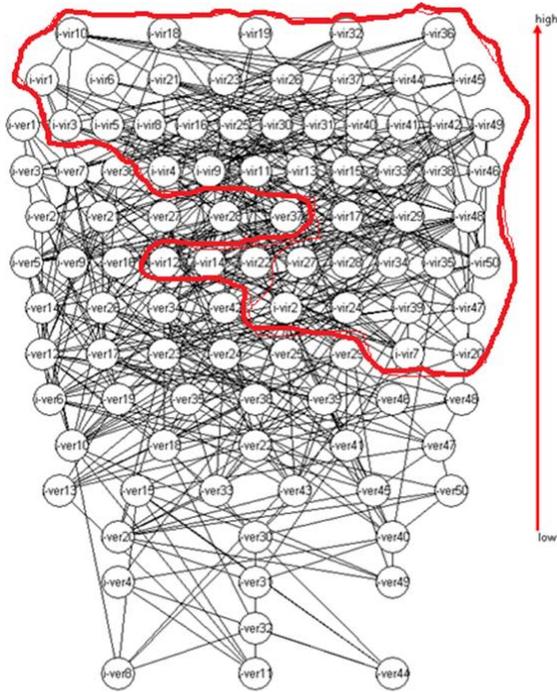


Figure 7: Hasse diagram of only set2 and set3. The separation between set3 and set2 is marked with a red line. The characteristic S-like curve marks the “noses”.

separability.

The elements of the “noses” are (cf. Fig. 7):

- i-ver: 27, 28, 37
- i-vir: 12, 14, 22, 27

There will be 7 runs:

- a. Keeping i-vir constant and eliminate one after another 27, 28, 37 to study the effect of the “noses” of i-ver and
- b. Keeping i-ver constant and eliminate 12, 14, 22, 27, respectively, one after another.

The results are shown in Table 2.

All in all the entries of the dominance and separability matrix are only slightly changed. The elements of the “nose” do not contribute much to the general dominance behavior, i.e., the presence of the “noses” does not change the impression that i-vir dominates to some degree the set i-ver. The separability values are in comparison to the standard reduced, showing that the elements of the “nose” contribute somewhat to the incomparabilities between the elements of i-vir and i-ver, respectively. When all elements of the “nose” are eliminated (9th row in Table 2) then the dominance of i-vir over i-ver is slightly enhanced, that of i-ver over i-vir reduced and the separation reduced. The comparison to the effect of the elements of the top level, namely i-vir10, i-vir18, i-vir19, i-vir32, i-vir36 shows that the position of elements to be eliminated within the level-order-system as schematically shown in Fig. 6 does not play a strong role.

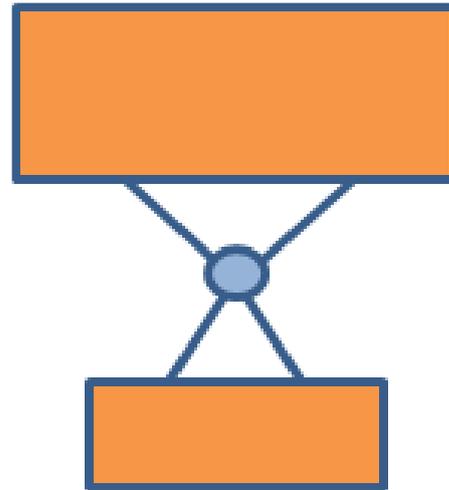


Figure 8: Scheme of the Hasse diagram, based on set1.

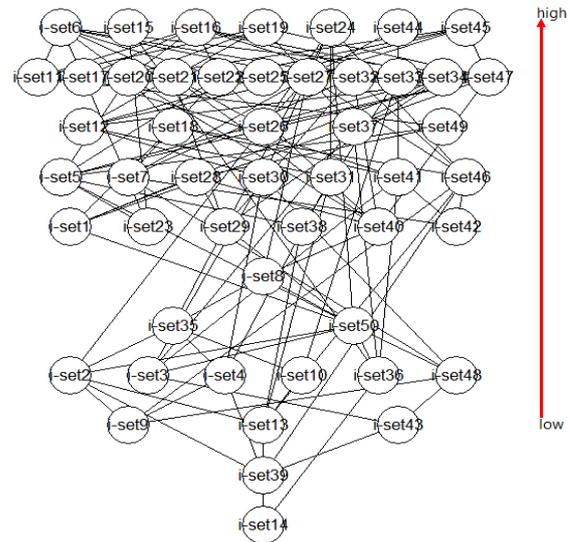


Figure 9: Hasse diagram of the i-set (set1). Total incomparabilities 646; Total comparabilities 579.

### 3.6 Internal structures

So far it has been demonstrated that posetic relations can roughly, but not convincingly verify the supervised classification. A further question remains, which is a partial-order-like point of view. Thus, do internal separations occur? Are there subsets of set1, set2 and set3, respectively that may be identified by partial order theory?

We answer these questions by an analysis of the i-set (set1). In Fig. 8 the Hasse diagram of set1 is shown.

By a simple optical inspection it is clear that there are two subsets which dominate each other to a striking degree. This situation can be schematically illustrated by Fig. 9.

In order not solely to rely on the visual impression, the dominance and separability approach (cf. sect. 3.2) is brought into play.

subsets	Dom(1,2) Dominance i-ver over i-vir	Dom(2,1) Dominance i-vir over i-ver	Sep(1,2) = Sep(2,1)
No. of elimination at all: Standard	0.002	0.637	0.362
Elim 27 from i-ver	0.002	0.643	0.355
Elim 28 from i-ver	0.001	0.643	0.356
Elim 37 from i-ver	0.002	0.645	0.353
Elim 12 from i-vir	0.002	0.641	0.357
Elim 14 from i-vir	0.002	0.638	0.361
Elim 22 from i-vir	0.002	0.645	0.353
Elim 27 from i-vir	0.002	0.641	0.358
i-ver without "nose"	0.001	0.687	0.312
I-vir without top level element 10 in comparison with i-ver	0.002	0.629	0.369
...Without 18	0.002	0.629	0.369
...Without 19	0.002	0.643	0.355
...Without 32	0.002	0.629	0.369
...Without 36	0.002	0.633	0.366

Table 2: Elimination of single elements from the “nose”.

### 3.6.1 Subset-selection:

In the following the 3 subsets, selected based on a visual inspection to be included in the analysis is shown. It should be noted that only representatives is shown, i.e., equivalent object being represented by one element only.

subset 1:

i-set6, i-set15, i-set16, i-set19, i-set24, i-set44, i-set45, i-set11, i-set17, i-set20, i-set21, i-set22, i-set25, i-set27, i-set32, i-set33, i-set34, i-set47, i-set12, i-set18, i-set26, i-set37, i-set49, i-set5, i-set7, i-set28, i-set30, i-set31, i-set41, i-set46, i-set1, i-set23, i-set29, i-set38, i-set40, i-set42

subset 2:

i-set8

subset 3:

i-set35, i-set50, i-set2, i-set3, i-set4, i-set10, i-set36, i-set48, i-set9, i-set13, i-set43, i-set39, i-set14,

### 3.6.2 Dominances and separabilities

The (relative) dominance matrix was calculated to be

Dominance matrix Dom (DOM(i,j)/(ni\*nj))

	1	2	3
1	0.176	0.417	0.688
2	0.0	1.0	1.0
3	0.0	0.0	0.296

The values of this matrix imply that there is a vague dominance of subset1 over subset2 (which is a singleton (namely comprising only i-set8), and a slightly clearer dominance of subset1 over subset3. It is further disclosed that i-set8 is higher located than all elements of subset3, i.e., completely dominating subset3.

Relative separability matrix was found to be

Separability matrix Sep (SEP(i,j)/(ni\*nj))

	1	2	3
1	0.676	0.583	0.312
2	0.583	0.0	0.0
3	0.312	0.0	0.485

Here it becomes clear that the incomparability among elements of subset1 and subset2 is the main result. Whereas the role of incomparabilities among elements of subset1 and those of subset3 is relatively low. Thus, a model for a classification for the i-set- series is the Hasse diagram, found in Fig. 10 using the PyHasse module ddssimpl1.py.

It can be seen, that due to the values of the dominance and separability matrices the differentiation between subset1 and subset3 is more pronounced than a differentiation within subset1.

Just by a simple visual inspection of Fig. 7 it is clear that a differentiation between i-vir and i-ver does not appear meaningful.

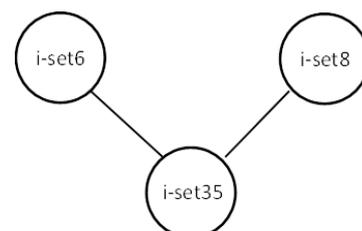


Figure 10: The representative elements of each subset are selected as labels for the Hasse diagram. The basic set is the i-set.



Figure 11: Schematic illustration of the separations of the 3 sets. Set1 is separated from set2 and set3, whereas the sets 2 and 3 have an overlapping zone.

## 4 Conclusions and outlook

The results of partial order theory suggest a pictorial representation as follows (Fig. 11)

The result summarized in Figure 11 is in perfect agreement with the results of the K-means clustering (cf. Fig. 1). Hence, the clear separation in the three sets is disclosed through the supervised learning by NN and SVM cannot be verified neither by clustering nor by partial ordering methodology.

The slight dominance of Set3 over Set2 is not indicated, it is discussed in more detail in Sect. 3. Correspondingly the internal structure within Set1 is not represented.

Section 3.4 shows that in the case of so many elements in each set (i-set, i-ver, i-vir), the effect of elements in the "nose" seems to play a minor role. These elements contribute slightly to incomparabilities, but do not change the dominance values. The reason is that these elements are mainly "in-between"-elements. Elements above the "nose" (i-vir) are still connected with elements below the "nose" (i-ver), independently whether elements in the "nose" are eliminated or not.

Section 3.5 shows, based on optical inspection of the corresponding Hasse diagrams that at maximum the i-set could be further partitioned, although a complete dominance cannot be obtained.

The interplay between ranking studies and classification is emphasized. Hence, researchers being interested in ranking may additionally be interested in classification. The present study suggests that partial ordering may be helpful also in this second aspect. This can be thought of as being comfortable for any user. However, still more experience and work is needed to further elucidate how, e.g., NN/SVM and partial order methodology can supplement each other. Thus, in order further to elucidate the use of partial ordering for classification studies it appears appropriate to investigating the stability of the dominance and separability matrices with respect to data uncertainty.

The application of dominance and separability matrices implies a further critical point: The central point in many classification algorithms is the metric information inherent in the data matrix. By adoption of the concept of dominance and separability matrices, however, metric information is lost.

A possible way to some extent to recover the metric information, will be to consider not just the poset of observed flowers, but to embed this into a larger poset built upon the set of all profiles (combination of values) obtained by discretizing the input variables in a sufficiently fine way (see for example papers by Fattore and Maggino, [26] Fattore, [19]). Based on this

construction, the mutual ranking probability matrix of the observed profiles, might lead to better separation of classes. However, enlarging the poset unequivocally leads to more difficult computations. Thus, instead of the dominance- and separability matrices a matrix of mutual ranking probabilities could be applied to decide whether or not a linear sum or a complete disjoint union could be stated. However, this procedure is on the one side computationally difficult, and, on the other side, especially the poset of all profiles is losing their structural information, which is evident, if an object oriented poset is applied, as in the case studied here. Therefore, we postpone this kind of analysis for a further publication.

## Acknowledgment

The data applied from this study originate from the UCI Machine Learning Repository [27].

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